

FILE COPY

PATENT
Attorney Docket No. 07528.0002.05

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

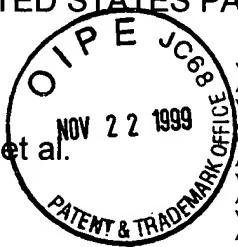
In re Application of

Cyril J. SCHWEICH, Jr. et al.

Serial No. 09/422,328

Filed: October 21, 1999

For: METHODS AND DEVICES FOR
IMPROVING CARDIAC
FUNCTION IN HEARTS



Group Art Unit: 3736

Examiner: Unknown

RECEIVED

DEC 03 1999

Group 3700

Assistant Commissioner
for Patents
Washington, D.C. 20231

OFFICE OF INITIAL PATENT EXAMINATION

Sir:

REQUEST FOR CORRECTED FILING RECEIPT

We are forwarding herewith a copy of a Filing Receipt for the above-identified patent application. As indicated in red ink on the attached copy, the name of one of the inventors has been misspelled. Please correct the Filing Receipt by replacing "SCHWELCH" with --SCHWEICH, JR.--.

It is respectfully requested that a corrected Filing Receipt be issued as soon as possible.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: November 22, 1999

By: Susanne C. Tinker
Susanne C. Tinker
Reg. No. 44,472

FILE

| SERIAL NUMBER | FILING DATE | CLASS | GROUP ART UNIT | ATTORNEY DC |
|---------------|-------------|-------|----------------|-------------|
| 09/422,328 | 10/21/99 | 600 | 3736 | 7528.0002 |

APPLICANT

PATRICK M. MCCARTHY, HUNTING VALLEY, OH; RIL J. SCHWEICH JR., ST. PAUL, MN; TODD J. MORTIER, MINNEAPOLIS, MN; PETER T. KEITH, ST. PAUL, MN; MICHAEL J. KALLOK, NEW BRIGHTON, MN.

CONTINUING DOMESTIC DATA***

VERIFIED THIS APPLN IS A CIP OF 09/124,286 07/29/98

 WHICH IS A CIP OF 08/933,456 09/18/97 PAT 5,961,440
 WHICH IS A CIP OF 08/778,277 01/02/97

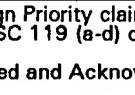
371 (NAT'L STAGE) DATA***

VERIFIED


FOREIGN APPLICATIONS***

VERIFIED


IF REQUIRED, FOREIGN FILING LICENSE GRANTED 11/12/99 ** SMALL ENTITY **

| | | | | |
|--|---|------------------------|----------------------|--------------------|
| Foreign Priority claimed 35 USC 119 (a-d) conditions met | <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance | STATE OR COUNTRY OH | SHEETS DRAWING 21 | TOTAL CLAIMS 77 |
| Verified and Acknowledged  | Examiner's Initials _____ Initials _____ | | | |

ADDRESS
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1300 I STREET N W
WASHINGTON DC 20005

TITLE
METHODS AND DEVICES FOR IMPROVING CARDIAC FUNCTION IN HEARTS

| | | |
|--------------------------------|---|---|
| FILING FEE RECEIVED \$1,244 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT NO. _____ for the following: | <input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit _____ |
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FILING RECEIPT



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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS | TOT CL | IND CL |
|--------------------|-------------|--------------|---------------|---------------------|-------|--------|--------|
| 09/422,328 | 10/21/99 | 3736 | \$1,244.00 | 7528.0002-05 | 21 | 77 | 12 |

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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) PATRICK M. McCARTHY, HUNTING VALLEY, OH; CYRIL J.
SCHWEICH, JR. SCHWEICH, ST. PAUL, MN; TODD J. MORTIER, MINNEAPOLIS, MN;
PETER T. KEITH, ST. PAUL, MN; MICHAEL J. KALLOK,
NEW BRIGHTON, MN.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CIP OF 09/124,286 07/29/98
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TITLE

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PRELIMINARY CLASS: 600

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JUN 16 1999

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CARRETT AND DUNNER, LLP

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